



Green alga hydrogen production: progress, challenges and prospects

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1. Prologue

The ability of green algae to photosynthetically generate molecular hydrogen has captivated the fascination and interest of the scientific community for the past 60 years due to the fundamental and practical importance of the process. In nature—under relevant physiological conditions—the photosynthetic activity of the green alga “hydrogenase” was only transient in nature. It lasted from several seconds to a few minutes due to the fact that photosynthesis and H₂O-oxidation entail the release of molecular O₂. Oxygen is a positive suppressor of hydrogenase gene expression, and a powerful inhibitor of the [Fe]-hydrogenase. Given the acute oxygen sensitivity of the hydrogenase and the prevailing oxidative environmental conditions on earth, questions have been asked as to whether the hydrogenase is anything more than a relic of the evolutionary past of the chloroplast in green algae, and whether this enzyme and the process of photosynthesis can ever be utilized to generate hydrogen for commercial purposes [1].

Deprivation of sulfur-nutrients in green algae causes a reversible inhibition in the activity of oxygenic photosynthesis. In the absence of sulfur from the growth medium, protein biosynthesis is impeded, and the green algae cannot perform the required turnover of the photosystem-II (PSII) D1/32 kDa reaction center protein [2]. Under S-deprivation, the photochemical activity of PSII declines, and rates of photosynthetic oxygen evolution drop below those of oxygen consumption by respiration [3]. In consequence, sealed cultures of the green alga *Chlamydomonas reinhardtii* become anaerobic in the light. Following anaerobiosis, they spontaneously induce a novel “hydrogenase pathway” of electron transport in the chloroplast and photosynthetically produce hydrogen. For the first time, substantial rates of hydrogen

production were steadily sustained for about 60 h in the light, but gradually declined thereafter. In the course of such hydrogen production, cells consumed significant amounts of internal starch and protein [4]. Such catabolic reactions may sustain, directly or indirectly, the hydrogen-production process. The use of green algae in this “two-stage photosynthesis and H₂-production” method does not entail the generation of toxic or environmentally disturbing byproducts, and it may even offer the advantage of value-added products as a result of the mass cultivation of green algae. The work discusses the physiology, biochemistry and molecular biology that underline this sustained green alga hydrogen production process.

In spite of the recently achieved significant breakthrough in H₂-photoproduction, rates were only about 15% of the biological theoretical maximum, suggesting room for substantial improvement in the yield of the process. Similarly, other improvements must be made to maintain the continuity of production and to optimize the solar conversion efficiency of the algae under mass culture conditions. The continuity of the process needs to be addressed, as H₂-production by S-deprivation of the algae is time limited. The yield begins to level off after about 60 h of production. After about 100 h of S-deprivation, the algae need to go back to normal photosynthesis in order to be rejuvenated by replenishing endogenous substrate [5]. Furthermore, optical problems associated with the size of the chlorophyll antenna and the light-saturation curve of photosynthesis must be addressed [6] before the relevant green algae can achieve high photosynthetic solar conversion efficiencies in mass culture. Additional challenges that must be successfully addressed include ways for the recycling of photobioreactor components and minimizing the cost of the alga growth nutrients, as these two items constitute 80–85% of the overall cost of a commercial H₂-production operation. There is a need to form a working relationship between the scientists who develop microalga-based applications and the energy-related industries. In each of these areas, the work summarizes the

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1 progress achieved so far, provides an assessment of the state
 2 of the art in photobiological H₂-production, and offers a
 3 discussion of the challenges that have been encountered.
 4 Finally, it offers a view of the prospects and promises of Bio-
 5 Hydrogen in general, and photobiological hydrogen in partic-
 ular, as important players in the coming Hydrogen Age.

2. Perspective

9 Hydrogen metabolism is primarily the domain of bacte-
 10 ria and microalgae. Within these groups, it involves many
 11 taxonomically diverse species, a variety of enzymes and
 12 metabolic pathways and processes [7–9]. The photosynthetic
 13 metabolism of hydrogen in green algae was discovered by
 14 Hans Gaffron [10–14] who observed that, under anaero-
 15 bic conditions, green algae can either use H₂ as electron
 16 donor in the CO₂ fixation process in the dark, or evolve H₂
 17 in the light. Gaffron's original observations were extended
 18 to many green algae, including *Scenedesmus obliquus* [14
 19 –16], *Chlorella fusca* [17–20], and *Chlamydomonas rein-*
hardtii [21–24], among others.

21 Historically, hydrogen evolution activity in green algae
 22 was induced upon a prior *anaerobic incubation* of the
 23 cells *in the dark* [17,25–27]. A hydrogenase enzyme [9]
 24 was expressed under such incubation and catalyzed, with
 25 high specific activity, a light-mediated H₂-evolution. The
 26 monomeric form of the enzyme, reported to belong to the
 27 class of [Fe]-hydrogenases [28–36], is nuclear encoded.
 28 However, the mature protein is localized and functions
 29 in the chloroplast stroma of the unicellular green algae
 30 [31]. Light absorption by the photosynthetic apparatus is
 31 essential for the generation of molecular hydrogen, since
 32 light-energy facilitates the endergonic transport of electrons
 33 to ferredoxin. Photosynthetic ferredoxin is the physiologi-
 34 cal electron donor to the [Fe]-hydrogenase and, therefore,
 35 links the soluble [Fe]-hydrogenase to the electron transport
 36 chain in the green alga chloroplast [35,37]. The absence of
 37 CO₂ enhanced the light-driven H₂-production, suggesting a
 38 competition for electrons between the CO₂-fixation and the
 39 H₂-production processes [38].

40 The ability of green algae to photosynthetically generate
 41 molecular H₂ has captivated the fascination and interest of
 42 the scientific community because of the *fundamental* and
 43 *practical* importance of the process [1]. Below is an item-
 44 ized list of the properties and promise of photosynthetic
 45 H₂-production, and the challenges that are encountered in
 46 the process:

- 47 • Photosynthesis in green algae can operate with a photon
 conversion efficiency of $\geq 80\%$ [39].
- 48 • Microalgae can evolve H₂ photosynthetically, with a pho-
 ton conversion efficiency of $\geq 80\%$ [40].
- 49 • Molecular O₂ acts as a powerful and effective switch by
 50 which the H₂-production activity is turned off.

- This incompatibility in the simultaneous O₂ and H₂ pho-
 toproduction remained a problem in 60 years of related
 research. 53

3. Photobiological H₂-production 55

3.1. 2H₂O → 2H₂ + O₂

3.1.1. State of the art 57

58 The process of photosynthetic H₂-production with elec-
 59 trons derived from H₂O (also referred to as “biophotolysis”
 60 [41,42]) entails H₂O-oxidation and a light-dependent trans-
 61 fer of electrons to the [Fe]-hydrogenase, leading to the syn-
 62 thesis of molecular H₂. Electrons are generated upon the
 63 photochemical oxidation of H₂O by PSII. These are trans-
 64 ferred through the thylakoid membrane electron-transport
 65 chain and, via PSI and ferredoxin, are donated to the HC
 66 cluster of [Fe]-hydrogenase [35]. Protons (H⁺) are the termi-
 67 nal acceptors of these photosynthetically generated electrons
 68 in the chloroplast. The process does not involve CO₂-fixation
 69 or energy storage into cellular metabolites. This process re-
 70 sults in the simultaneous production of O₂ and H₂ with
 71 a H₂: O₂ = 2:1 ratio [24,43]. This mechanism holds the
 72 promise of generating hydrogen continuously and efficiently
 73 through the solar conversion ability of the photosynthetic
 74 apparatus.

3.1.2. Challenges 75

76 In the absence of provision for the active removal of oxy-
 77 gen, this mechanism can operate only transiently, as molec-
 78 ular oxygen is a powerful inhibitor of the enzymatic reaction
 79 and a positive suppressor of [Fe]-hydrogenase gene expres-
 80 sion. At present, this direct mechanism has limitations as a
 81 tool of further research and for practical application, mainly
 82 due to the great sensitivity of the [Fe]-hydrogenase to O₂,
 83 which is evolved upon illumination by the water-oxidizing
 84 reactions of PSII [5]. An additional problem, assuming that
 85 the mutual incompatibility of O₂ and H₂ co-production is
 86 overcome, entails the separation of the two gases, a costly
 87 and technologically challenging feat.

3.1.3. Prospects

88 Nevertheless, it has been shown that such O₂ and H₂
 89 co-production can be prolonged under conditions designed
 90 to actively remove O₂ from the reaction mixture. Indeed,
 91 Greenbaum and co-workers [24,25,40] have sustained a pho-
 92 tosynthetic H₂O → H₂ process continuously for days upon
 93 sparging the reaction mixture with helium, thus removing
 94 from the vicinity of the cells the photosynthetic gas prod-
 95 ucts (O₂ and H₂). Along this line, efforts are under way to
 96 mutagenize the [Fe]-hydrogenase with the objective of al-
 97 tering or removing the oxygen sensitivity of the enzyme [5],
 98 thereby permitting a light-driven O₂ and H₂ co-production
 99 in the green algae.

3.2. Endogenous substrate \rightarrow H₂

3.2.1. State of the art

Apart from the above described PSII-dependent H₂-photoproduction, which involves H₂O as the source of electrons and, in the absence of CO₂, produces 2:1 stoichiometric amounts of H₂ and O₂, an alternative source of electrons has been described in the literature. Catabolism of endogenous substrate and the attendant oxidative carbon metabolism in green algae may generate electrons for the photosynthetic apparatus [44]. Electrons from such endogenous substrate catabolism feed into the plastoquinone pool between the two photosystems [45,46]. An NAD(P)H-plastoquinone oxidoreductase that feeds electrons into the plastoquinone pool has recently been identified in many vascular plant chloroplasts [47–52] but so far only from the green alga *Nephroselmis olivacea* [53]. Light absorption by PSI and the ensuing electron transport elevates the redox potential of these electrons to the redox equivalent of ferredoxin and the [Fe]-hydrogenase. In this case, protons (H⁺) act as the terminal electron acceptor [44,54], thus permitting the generation of molecular H₂ [55]. In the presence of DCMU, a PSII inhibitor, this process generates 2:1 stoichiometric amounts of H₂ and CO₂ [56]. Thus, following a dark-anaerobic incubation of the culture (induction of the [Fe]-hydrogenase), initially substantial rates of H₂-production can be detected upon illumination of the algae in the presence of DCMU [31,35].

3.2.2. Challenges and prospects

The regulation of endogenous substrate catabolism and the attendant supply of electrons to the electron transport chain of photosynthesis are not well understood. Whereas rates of H₂O oxidation by the photosynthetic apparatus can be measured continuously and precisely, measurements of electron transport supported by endogenous substrate catabolism and NAD(P)H-plastoquinone oxidoreductase activity are more difficult to make. H₂-photoproduction with anaerobically incubated and DCMU-poisoned chloroplasts [35] suggests that, initially, substantial rates of H₂-production can be detected. However, this process could not be sustained for significant periods of time [4], suggesting limitation(s) in the capacity of the electron transport reactions associated with the NAD(P)H-plastoquinone oxidoreductase activity. Nevertheless, the prospect of endogenous starch, protein and lipid catabolism feeding electrons into the plastoquinone pool—and thus contributing to H₂-photoproduction—is important enough to warrant further investigation to fully assess its potential. In this respect, the tools of molecular biology could come to bear in efforts to increase the capacity of this important process.

3.3. Two-stage photosynthesis and H₂-production

3.3.1. Progress

Recent progress has shown that lack of sulfur nutrients from the growth medium of *C. reinhardtii* causes a specific

but reversible decline in the rate of oxygenic photosynthesis [2], but does not affect the rate of mitochondrial respiration [3]. In sealed and S-deprived cultures, the absolute activity of photosynthesis becomes less than that of respiration. Such imbalance in the photosynthesis–respiration relationship by S-deprivation resulted in net consumption of oxygen by the cells causing anaerobiosis in the growth medium. It was shown that *expression of the [Fe]-hydrogenase is elicited in the light* under these conditions, autonomically leading to H₂-production by the algae [3,5]. For the first time, under S-deprivation, it was possible to photoproduce and to accumulate bulk amounts of H₂ gas, emanating as bubbles from the green alga cultures, a sustainable process that continued for a few days. Thus, progress was achieved by circumventing the sensitivity of the [Fe]-hydrogenase to O₂ through a temporal separation of the reactions of O₂ and H₂ photoproduction, i.e., by the so-called “two-stage photosynthesis and H₂-production” process [3]. Application of this novel two-stage protocol revealed the occurrence of hitherto unknown metabolic, regulatory and electron-transport pathways in the green alga *C. reinhardtii* [4]. This method may serve as a tool for the elucidation of the green alga photosynthesis/respiration relationship and biochemistry of hydrogen-related metabolism. Upon further refinement, it may also serve in the generation of H₂ gas for the agriculture, chemical and fuel industries. Briefly, the temporal sequence of events in this two-stage photosynthesis and H₂-production process is as follows:

- (a) Green algae are grown photosynthetically in the light (normal photosynthesis) until they reach a density of 3–6 million cells/ml in the culture.
- (b) Sulfur deprivation is imposed upon the cells in the growth medium, either by carefully limiting sulfur supply so that it is consumed entirely, or by permitting cells to concentrate in the growth chamber prior to medium replacement with one that lacks sulfur nutrients. Cells respond to this S-deprivation by fundamentally altering photosynthesis and cellular metabolism in order to survive [57–59]. Noteworthy in this respect is the 10-fold increase in cellular starch content during the first 24 h of S-deprivation [4,60].
- (c) S-deprivation exerts a distinctly different effect on the cellular activities of photosynthesis and respiration (Fig. 1A). The capacity of oxygenic photosynthesis declines quasi-exponentially, with a half-time of 15–20 h, to a value about 10% of its original rate [2]. However, the capacity for cellular respiration remains fairly constant over the S-deprivation period [3]. In consequence, the absolute activity of photosynthesis drops below the level of respiration after about 24 h of S-deprivation (Fig. 1A). Following this cross-point between photosynthesis and respiration, sealed cultures of S-deprived *C. reinhardtii* quickly consume all dissolved oxygen and become anaerobic [5], even though they are maintained under continuous illumination.

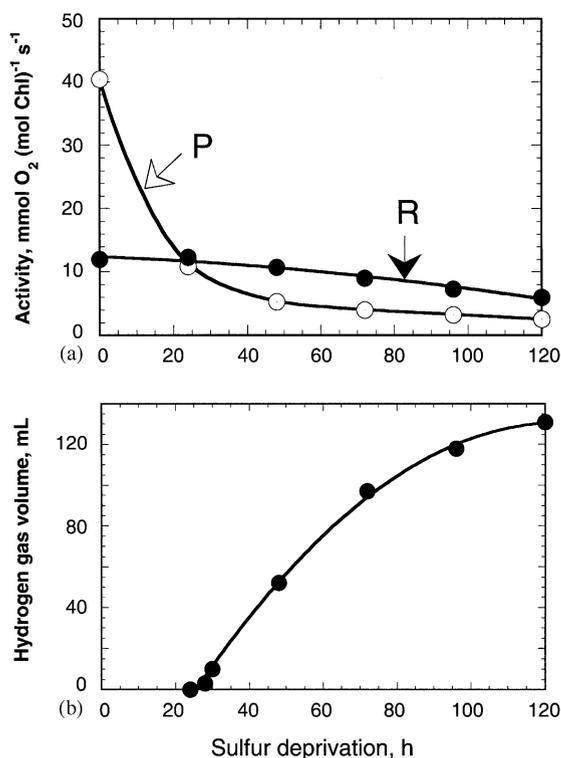


Fig. 1. Photosynthesis, respiration and H₂-production as a function of sulfur-deprivation in *C. reinhardtii*. (A) Absolute activity of oxygenic photosynthesis (P, open circles) and respiration (R, solid circles) in *C. reinhardtii* suspended in media lacking a source of sulfur. The rate of cellular respiration (R) was recorded in the dark, followed by measurement of the light-saturated rate of photosynthesis (P). Cultures at 0 h contained 2.2×10^6 cells/ml. (B) H₂ gas production and accumulation by *C. reinhardtii* cells suspended in media lacking sulfur. Gases were collected in an inverted burette and measured from the volume of water displacement.

- (d) Under S-deprivation conditions, sealed (anaerobic) cultures of *C. reinhardtii* induce the [Fe]-hydrogenase in the light and produce H₂ gas (Fig. 1B). Induction of the [Fe]-hydrogenase will take place in the light or dark upon S-deprivation. However, H₂-production is strictly a light-dependent process. The rate of photosynthetic H₂-production was about 2 ml/l culture/h and was sustained in the 24–96 h period. The rate gradually declined thereafter.
- (e) In the course of such H₂ gas production (S-deprivation), cells consumed significant amounts of internal starch and protein [4]. Such catabolic reactions apparently sustain, directly or indirectly, the H₂-production process.

Evidently, the absence of sulfur nutrients from the growth medium of algae acts as a metabolic switch, one that selectively and reversibly inhibits photosynthetic O₂ production. Thus, in the presence of S, green algae do normal photosyn-

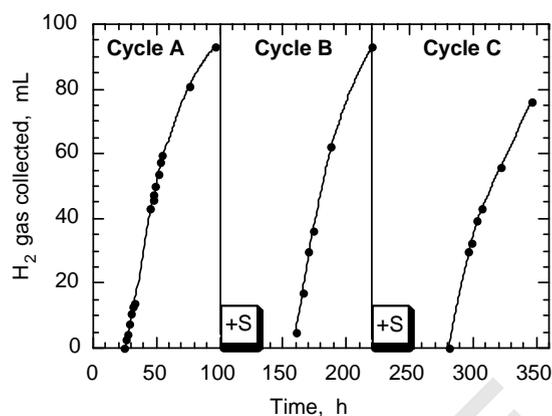


Fig. 2. Cycling of Stages in *C. reinhardtii*. Reversibility and reproducibility of the S-deprivation and H₂-production sequence of events was demonstrated by cycling a single *C. reinhardtii* culture between the two stages (oxygenic photosynthesis in the presence of S and H₂-production in its absence) for up to three full cycles. At the end of H₂-production in cycle A, the culture was supplemented with inorganic S ($t = 100$ h). The latter caused prompt inhibition in H₂-production (beginning of cycle B), because of the ensuing activation of oxygenic photosynthesis ($100 < t < 130$ h). The culture was driven to anaerobiosis upon a subsequent S-deprivation ($130 < t < 160$ h) and H₂-production ($160 < t < 220$ h). Cycle C shows a third temporal cycling of the Stage 1 → Stage 2 process. When indicated, sulfur was added as sulfate salts in the growth medium to a final concentration of 0.4 mM. (From [5].)

thesis (H₂O-oxidation, O₂-evolution and biomass accumulation). In the absence of both S and O₂, photosynthesis in *C. reinhardtii* slips into the H₂-production mode. Reversible application of the switch (presence/absence of S) permits the algae to alternate between O₂- and H₂-production (cycling of the stages, Fig. 2), thus bypassing the incompatibility and mutually exclusive nature of the O₂- and H₂-producing reactions. Interplay between oxygenic photosynthesis, mitochondrial respiration, catabolism of endogenous substrate, and electron transport via the hydrogenase pathway is essential for this light-mediated H₂-production process. The release of H₂ gas serves to sustain baseline levels of oxygenic photosynthesis, which feeds electrons into the [Fe]-hydrogenase for the generation of H₂. This residual oxygenic photosynthesis, via the molecular O₂ released, is coupled to mitochondrial respiration (Fig. 3), which in effect scavenges the baseline amounts of photosynthetic O₂. The bioenergetic purpose of the two organelles is the generation of ATP [61], needed for the survival of the organism under the protracted sulfur-deprivation stress conditions.

3.3.2. Challenges

There are specific improvements that need to be made to increase the likelihood of successful commercial exploitation of the method. Foremost, the continuity of the process needs to be addressed, as H₂-production by S-deprivation

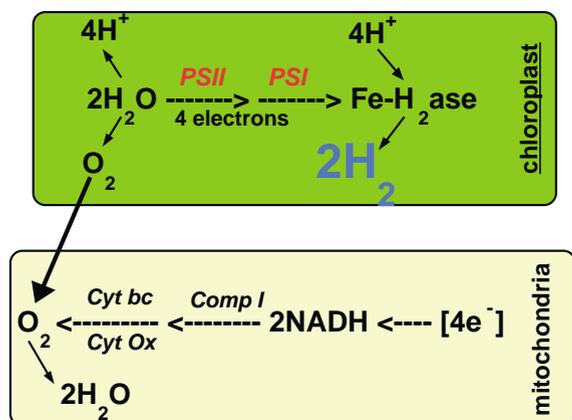


Fig. 3. Coordinated photosynthetic and respiratory electron transport and coupled phosphorylation during H_2 -production. Photosynthetic electron transport delivers electrons upon photooxidation of H_2O to the hydrogenase, leading to photophosphorylation and H_2 -production. The oxygen generated by this process serves to drive the coordinate oxidative phosphorylation during mitochondrial respiration. Electrons for the latter (4e^-) are derived upon endogenous substrate catabolism, which yields reductant and CO_2 . Release of molecular H_2 by the chloroplast enables the sustained operation of this coordinated photosynthesis–respiration function in green algae and permits the continuous generation of ATP by the two bioenergetic organelles in the cell. (Adapted from [1].)

of the algae is time limited. As evident from the results of Fig. 2 [5], the yield begins to level off after about 80 h of S-deprivation. After about 100 h of S-deprivation, the algae need to go back to normal photosynthesis in order to be rejuvenated by replenishing endogenous substrate. Moreover, the yield of H_2 gas accumulation (about 2 ml/h/l culture) represents about 15% of the photosynthetic capacity of the cells, when the latter is based on the capacity for O_2 evolution under physiological conditions [3]. The relatively slow rate of H_2 -production suggests a rate-limiting step in the overall process, one that needs to be identified and overcome.

3.3.3. Prospects

The discovery of sustainable H_2 -production that bypasses the sensitivity of the [Fe]-hydrogenase to O_2 and produces essentially pure H_2 [3] is a significant development in this field. It may serve as a tool in the elucidation of the four-way interplay between the processes of oxygenic photosynthesis, mitochondrial respiration, regulation of cellular metabolism, and electron transport via the [Fe]-hydrogenase pathway for the generation of H_2 . It may also lead to the commercial exploitation of green algae for the manufacturing of a clean and renewable fuel. Importantly, it raises to a meaningful level of questions about the optical properties of the cells in mass culture (maximizing green alga solar conversion efficiencies under mass culture conditions) and the engineer-

ing of the process (photobioreactors) to an industrial facility level.

4. Maximizing solar conversion efficiencies under mass culture conditions

Cultures growing under full sunlight, when productivity ought to be at a maximum, have disappointingly low solar conversion efficiencies. The reason for this inefficiency is that green algae have a genetic tendency to assemble large arrays of light-absorbing chlorophyll (Chl) antenna molecules in their photosystems. At high solar intensities, the rate of photon absorption by the Chl antennae of the *first few layers of cells* in the culture, or pond, far exceeds the rate at which photosynthesis can utilize them, resulting in dissipation and loss of the excess photons as fluorescence or heat. Up to 95% of absorbed photons could thus be wasted, reducing solar conversion efficiencies and cellular productivity to unacceptably low levels. In addition to the wasteful dissipation of excitation, and due to the high rate of photon absorption by the photosynthetic apparatus, cells at the surface of the mass culture are subject to severe photoinhibition of photosynthesis [62,63], a phenomenon that compounds losses in productivity. Moreover, cells deeper in the culture are deprived of much needed sunlight, as this is strongly attenuated due to filtering [6,64,65]. A genetic tendency of the algae to assemble large arrays of light-absorbing Chl antenna molecules in their photosystems is a survival strategy and a competitive advantage in the wild, where light is often limiting. Obviously, this property of the algae is detrimental to the yield and productivity of a mass culture.

Theoretically, a smaller, or truncated, Chl antenna size of the photosystems in the chloroplast of the microalgae could alleviate the optical shortcomings associated with a fully pigmented Chl antenna, because it will minimize the over-absorption of bright incident sunlight by the photochemical apparatus of the algae. A truncated Chl antenna will diminish the overabsorption and wasteful dissipation of excitation energy by the cells, and it will also diminish photoinhibition of photosynthesis at the surface of the culture. Moreover, a truncated Chl antenna size will alleviate the rather severe gradient of light and mutual cell shading and it will permit a more uniform illumination of the cells in the mass culture. Such altered optical properties of the cells would result in much greater photosynthetic productivity and better solar utilization efficiency in the culture. Indeed, actual experiments [6,66] showed that a smaller Chl antenna size results in a relatively higher light intensity for the saturation of photosynthesis in individual cells but, concomitantly, in a 3-fold greater productivity of the mass culture. Thus, approaches by which to genetically truncate the Chl antenna size of photosynthesis in green algae merit serious consideration.

The Chl antenna size of the photosystems is not constant. Rather a parameter known as “excitation pressure” regu-

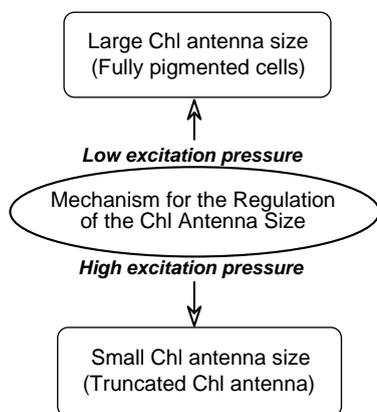


Fig. 4. Molecular mechanism for the regulation of the Chl antenna size in the photosynthetic apparatus. A sensory and signal transduction pathway, highly conserved in all photosynthetic organisms, regulates the Chl antenna size of the photosystems. Within limits, defined by genetic and structural considerations, the response is a compensation reaction to the level of irradiance.

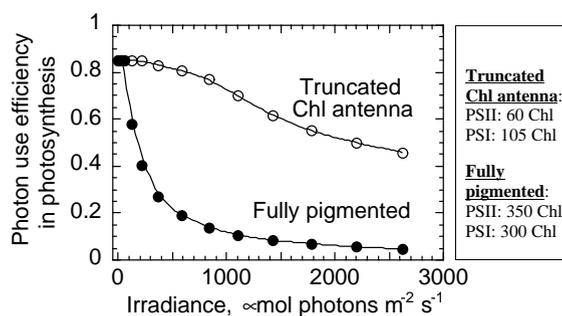


Fig. 5. Photosynthetic solar photon use efficiency as a function of irradiance in fully pigmented and truncated Chl antenna *D. salina*. Under bright sunlight (2000–2500 $\mu\text{mol photons/m}^2/\text{s}$), fully pigmented cells (PSII=350 Chl; PSI=300 Chl) show a mere 5–10% solar conversion efficiency. Under the same conditions, cells with a truncated Chl antenna size show a 45% solar conversion efficiency. (Adapted from [6].)

lates the size and composition of the light-harvesting Chl antenna during chloroplast development [67–70]. “Excitation pressure” is generated whenever there is imbalance between the supply and consumption of light energy in the photosynthetic apparatus. Such persistent imbalance is communicated to the metabolic machinery of the chloroplast via the redox state of the plastoquinone pool [70–72]. In general, low light intensity during growth results in low excitation pressure, a condition that promotes a large Chl antenna size for both PSII and PSI. Growth under high light intensities results in higher excitation pressure, a condition that elicits the assembly of a smaller Chl antenna size. This regulatory mechanism is known to function in all organisms of oxygenic and anoxygenic photosynthesis [68,73,74]. The function of this highly conserved mechanism is depicted in Fig. 4. An example of the operation of this molecular mechanism was provided in work from this and other laboratories. In these reports, the Chl antenna size of PSII was shown to be as large as 460 Chl molecules in fully pigmented green algae and as small as 60 Chl molecules in cells stressed upon continuous excitation pressure [39,75,76]. Adjustments of the Chl antenna size in response to irradiance are essentially a compensation reaction of the chloroplast as they are inversely related to the incident intensity. In principle then, it should be possible to genetically interfere with the relevant regulatory mechanism (Fig. 4) and, in transformant green algae, to direct the chloroplast biosynthetic and assembly activities toward a permanently truncated Chl antenna size.

The utility of the small Chl antenna size in maximizing solar conversion efficiencies was demonstrated in recent studies [6,65]. Excitation pressure was used as a tool to generate green algae (*Dunaliella salina*) with a truncated Chl antenna size. These cultures were used to obtain information

on the photosynthetic efficiency and productivity of the cells under mass culture conditions. Fig. 5 shows measurements of the photon use efficiency as a function of incident irradiance in fully pigmented (solid circles) and truncated Chl antenna cells (open circles). It is evident that, at low intensities ($< 100 \mu\text{mol photon/m}^2/\text{s}$), both cell types performed with a relatively high photon use efficiency. At increasing incident intensities, however, photon use efficiencies for the fully pigmented cells declined sharply, reaching a value of ~ 0.05 (5%) at an irradiance corresponding to full sunlight (2500 $\mu\text{mol photon/m}^2/\text{s}$). The cells with the truncated Chl antenna size exhibited a smaller decline in photon use efficiency with irradiance. This decline was noticeable only at intensities greater than 500 $\mu\text{mol photon/m}^2/\text{s}$, reaching a value of ~ 0.45 at the intensity of full sunlight [6]. It is concluded that green algae with a truncated Chl antenna size are indispensable in efforts to substantially increase photosynthetic efficiencies and the yield of H_2 -production in photobioreactors under mass culture conditions.

5. Genes for the regulation of the Chl antenna size

The foregoing clearly show that, for purposes of biomass or H_2 -production under ambient sunlight conditions, it is important to identify genes that confer a truncated Chl antenna size in the model green alga *C. reinhardtii*. Once a library of such genes is at hand, overexpression or down-regulation of expression of these genes, as needed, can be applied to other green algae that might be suitable for commercial exploitation and H_2 -production.

5.1. Progress

The chlorophyll *a* (Chl *a*) oxygenase (*CAO*) gene encodes a chloroplast enzyme that catalyzes the last step in

Table 1
Photosystem Chl antenna size in wild type and three *Chlamydomonas reinhardtii* mutant strains

	Wild type	<i>chs3</i> (Chl <i>b</i> -less)	<i>npq2/lor1</i> (Lut, Vio & Neo-less)	<i>tlal</i>	Goal (minimum Chl antenna size)
Chl-PSII	230	90	125	115 ^a	37
Chl-PSI	240	289	294	160 ^a	95

The *chs3* strain lacks Chl *b* and was isolated upon DNA insertional mutagenesis [78]. The *npq2/lor1* strain lacks all β, ϵ -carotenoids as well as the β, β -epoxycarotenoids. It contains zeaxanthin but lacks lutein, violaxanthin and neoxanthin from its thylakoid membranes [80]. The *tlal* strain was isolated upon DNA insertional mutagenesis [81]. Note that the *tlal* transformant has the smallest combined Chl antenna size of the three mutants described. Numbers show the Chl antenna size, i.e., the Chl (*a* and *b*) molecules specifically associated with each photosystem.

1 the Chl biosynthetic pathway, namely the conversion of Chl
2 *a* into Chl *b*. A mutant with inactivated *CAO* would be
3 unable to synthesize Chl *b*, thereby lacking this auxiliary
4 light-harvesting pigment. The assembly, organization and
5 function of the photosynthetic apparatus was recently investigated
6 in wild type and a chlorophyll (Chl) *b*-less mutant
7 of the unicellular green alga *C. reinhardtii*, generated by
8 DNA insertional mutagenesis [77]. It was shown that lack
9 of Chl *b* diminished the PSII functional Chl antenna size
10 from 230 Chl (*a* and *b*) to about 95 Chl *a* molecules [78].
11 However, the functional Chl antenna size of PSI remained
12 fairly constant at about 290 Chl molecules, independent of
13 the presence of Chl *b* (Table 1).

14 ^aPolle, Kanakagiri and Melis, unpublished. This work
15 provided evidence to show that transformation of green algae
16 [79] can be used as a tool by which to interfere with
17 the biosynthesis of specific pigments and, thus, to generate
18 mutants exhibiting a permanently truncated Chl antenna
19 size. In support of the role of *CAO* in the Chl antenna size
20 of photosynthesis, recent work [72] showed that *CAO* gene
21 expression is highly regulated in vivo according to the Chl
22 antenna size needs of the organism. Thus, the *CAO* gene
23 may be a target for a truncated Chl antenna size in PSII.

24 *C. reinhardtii* double mutant *npq2/lor1* lacks the
25 β, ϵ -carotenoids lutein and lodoxanthin as well as all
26 β, β -epoxycarotenoids derived from zeaxanthin (e.g. violaxanthin
27 and neoxanthin). Thus, the only carotenoids present in the
28 thylakoid membranes of the *npq2/lor1* cells are β -carotene and
29 zeaxanthin. The effect of these mutations and the lack of specific
30 xanthophylls on the Chl antenna size of the photosystems was
31 investigated [80]. In cells of the mutant strain, the Chl antenna
32 size of PSII was substantially smaller than that of the wild type
33 (Table 1). In contrast, the Chl antenna size of PSI was not
34 truncated. This analysis showed that the absence of lutein,
35 violaxanthin and neoxanthin specifically caused a smaller
36 functional Chl antenna size for PSII but not for that of PSI.
37 Thus, xanthophyll-biosynthesis genes, such as *lycopene*
38 ϵ -cyclase and *zeaxanthin epoxidase* may be targets for a
39 truncated Chl antenna size in PSII.

40 DNA insertional mutagenesis and screening resulted in
41 the isolation of a regulatory mutant, the phenotype of which
42 was Chl deficiency and elevated Chl *a*/Chl *b* ratio [81].

43 This truncated light-harvesting Chl antenna (*tlal*) mutant
44 apparently has a defect in the regulatory mechanism shown
45 in Fig. 4, the result of which is inability to produce a large
46 Chl antenna size under any growth conditions. Table 1 shows
47 that both the Chl antenna size of PSII and PSI were smaller
48 in the *tlal* strain. This work provided further evidence to
49 show that transformation of green algae can also be used as
50 a tool by which to genetically interfere with the molecular
51 mechanism (Fig. 4) for the regulation of the Chl antenna size
52 in green algae (Kanakagiri, Polle and Melis, unpublished). 53

5.2. Prospects

54 Identification of three genes that confer a truncated
55 Chl antenna size in the photosynthetic apparatus is another
56 significant development in the direction toward
57 cost-effective commercialization of green algae for biomass
58 and H₂-production. Most promising in this respect is the
59 cloning of the *TLAI* regulatory gene. A complete genomic
60 and cDNA sequence of *TLAI* as well as the amino acid
61 sequence of the *Tlal* protein are currently at hand (Kanakagiri
62 and Melis, in preparation). It should be noted that this is a
63 first-time isolation and characterization of a “Chl antenna
64 size” regulatory gene. The *TLAI* gene may serve
65 as a molecular tool in the elucidation of the function of the
66 above (Fig. 4) regulatory mechanism in photosynthesis. It
67 may thus contribute to the identification of other genes that
68 are important in this regulatory process (Fig. 4). Further,
69 *TLAI* may serve in the truncation of the Chl antenna size
70 in a variety of green algae and, potentially, in non-oxygenic
71 photosynthetic bacteria.

72 The ultimate goal of this approach is to develop customized
73 strains of green algae, which assemble only the minimum
74 Chl antenna size of the PSII-core complex (37
75 Chl) and that of the PSI-core complex (95 Chl molecules)
76 (Table 1). 77

6. Photobioreactors

78 The alga culture biotechnology has evolved over the
79 recent past into a commercially viable sector, with many
80 companies utilizing both open pond culture systems and
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controlled closed photobioreactor-type facilities (see reviews in [82]). Recent biotechnological improvements have contributed in the expansion of this industry into commodity-scale products, high-value chemicals and fuels production. Of the latter, CO₂ mitigation efforts [83–86], algal biomass [87,88], and potentially hydrogen production [1] have received attention over the past 10 years. In any algal H₂-production process, however, the cost of the facility and its operation are most important factors.

6.1. Design and specifications

The photobioreactor design, whether semi-batch or continuous flow, flat plate or tubular, should be suitable for optimal light exposure of the algae. Useful features include some thermal control and provisions for monitoring flow rates, pH, and dissolved [O₂], [S] and [H₂]. A computer-controlled system for monitoring and automatic nutrient delivery and culture dilution is now a routine in the algal biotechnology industry. Continuous-flow or batch culturing of microalgae is also a routine. Combining this expertise with modifications to ensure a gas-tight system should be a straightforward technical development.

In order to gain maximum H₂ yields per unit volume, green alga biomass needs to be densely packed. Photobioreactors have been designed in the past with the goal of achieving a low-cost, fast-growth and high biomass density of the culture (> 10⁹ cells/ml) (for review see [89,90]). Several photobioreactor designs could be suitable for green alga H₂-production, including flat plate, tubular, pond or pool-type, etc. For each of these designs, detailed cost analyses must be undertaken with a focus on minimizing the expense associated with materials and daily operation, and also for optimizing the yield and collection of H₂ gas (see below). Critical parameters in this respect include, but not limited to, the light environment, the regional climate and land area, photobioreactor construction materials, the mechanism of biomass mixing, photobioreactor maintenance and long-term operational stability with the maximum possible H₂-production output. Sufficient light supply for the cells is essential not only for high-density biomass generation but also for H₂-production through photosynthesis. Hence, light limitations must be kept to a minimum. The exact dimensions of the modular bioreactor also need to be determined for the most effective utilization of sunlight and surface area. In this respect, biomass mixing is important as it ensures uniform dispersion of nutrients, promotes a more uniform illumination of the culture, and prevents cell settling.

The modular experimental design must be intended for potential scale-up and use at the commercial and industrial levels and should allow for sustained long-term H₂-production with high yields in minimum volume. An important consideration in the design of the photobioreactor is that it must be constructed for the trapping and removal of H₂ gas. The two-stage photosynthesis and H₂-production protocol has the advantage of generating pure H₂ gas [3], thus it may

serve as a model for the operation of pilot photobioreactors. Given the current state of the art in photobiological H₂-production, technical and economic strategies for cycling of the algal cultures between sulfur-deprivation and supply (Fig. 2) must be devised.

6.2. Cost analysis

6.2.1. Progress

An important aspect of the design that needs to be considered is that the reactor interior must be protected against contamination with alien microorganisms. Thus, the design and construction of the reactor needs to be modular to permit for periodic materials replacement and/or whole reactor de-contamination treatments. Moreover, various gas collection devices must be incorporated, which would remove H₂ from the gas-tight sealed bioreactor. Following a thorough design and evaluation process, a most economic and efficient scaled-up (500 l) modular unit was adopted and is being tested under field conditions (Candy and Melis, unpublished). This modular pilot photobioreactor was exposed to the elements continuously over a 6-month period with satisfactory materials performance. Significant experience was gained on the growth of the green algae and on the production and collection of H₂ under mass culture conditions in this scaled-up pilot reactor. Most important, the pilot photobioreactor permitted a realistic assessment of construction costs and analysis of performance. Such economic analysis showed the following cost distribution among the various facility categories, derived from the actual operation of this scaled-up modular unit.

Photobioreactor materials	45%
Mineral nutrients	39%
Labor	4%
Water supply	4%
Land lease	2%
Power	1%
Miscellaneous	5%

As a point of reference, actual costs for the construction of this pilot unit photobioreactor were \$0.75 m⁻². These costs are substantially lower than the \$20–100 m⁻² often quoted ([82], and references therein) and they probably reflect the simplified strip-down minimal design, which is necessary and sufficient for the growth of the algal biomass and the collection of H₂.

6.2.2. Prospects

The above preliminary analysis provides a glimpse into the relative cost of the components that are necessary and sufficient to assemble a commercially viable photobiological H₂-production facility. The analysis took into consideration the production phase of the operation in California, including facility maintenance, but does not include costs associated with the storage, transportation or H₂-conversion to

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Table 2
Photobiological H₂-production: progress, challenges and prospects

Parameter	Basis of challenge	Approaches to overcome challenge	State of the art	Future prospects
Solar conversion efficiency of the photosynthetic apparatus	Under bright sunlight, solar conversion efficiency of green algae is low	Genetically truncate the Chl antenna size of photosynthesis to limit rates of light absorption	Successful truncation of the Chl antenna size by about 50% <i>TLA1</i> , a gene that regulates the Chl antenna size has been cloned	Additional genes that confer a truncated Chl antenna size should be identified
Generation of product (H ₂)	Oxygen sensitivity of the process Low light intensity for the saturation of H ₂ -production	Temporally separate the processes of photosynthetic O ₂ - and H ₂ -production	Development of anaerobic S-deprivation “two-stage” photosynthesis and H ₂ -production process	Improve sustainability of H ₂ -production Increase yield by over-expressing the [Fe]-hydrogenase in green algae
Photobioreactor	Cost of materials (\$0.75 m ⁻²) Cost of nutrients (\$0.65 m ⁻²)	Recycling of materials Minimize use and/or recycle nutrients	Testing of a pilot scale-up (500 L) photobioreactor of flexible, sturdy and transparent materials	Easy to obtain, off the shelf mechanical and chemical engineering technology
Land surface area	Large surface area is required due to the diffuse nature of solar insolation	Design optimal sized modular facility in preference to utilizing single-body gigantic area	Availability of sunny, arid climate with fresh, brackish, or seawater	Suitable domestic or international locations are available for plant construction

1 electricity. Nevertheless, it serves as an important guide in
 2 efforts to critically assess ways by which to lower the cost of
 3 photobiological H₂-production. For example, it is obvious
 4 from the above analysis that efforts should be directed to-
 5 ward the recycling and reutilization of photobioreactor ma-
 6 terials and green alga mineral nutrients, in order to lower
 7 the cost of the overall operation.

8 A fringe benefit of the mass cultivation of microalgae is
 9 the generation of useful biomass. High-value bioproducts
 10 (such as vitamins, polyunsaturated fatty acids, carotenoids,
 11 and specialty proteins) could be extracted from the algal
 12 biomass. The residue could be processed for the further gen-
 13 eration of H₂ via, for example, high temperature steam re-
 forming.

7. The promise of photobiological hydrogen production

14 Hydrogen is recognized as an ideal energy carrier that
 15 does not contribute to air pollution or global warming. Hy-
 16 drogen and electricity could team to provide attractive op-
 17 tions in transportation and power generation. Interconver-
 18 sion between these two forms of energy suggests on-site uti-
 19 lization of hydrogen to generate electricity, with the electri-
 cal power grid serving in energy transportation, distribution,
 utilization and hydrogen regeneration as needed. A challeng-
 ing problem in establishing hydrogen as a source of energy
 for the future is the renewable and environmentally friendly
 generation of large quantities of hydrogen gas.
 The recently developed single-organism, two-stage pho-
 tosynthesis and H₂-production protocol with green algae
 [3] is of fundamental importance because it revealed the
 occurrence of hitherto unknown metabolic, regulatory and
 electron-transport pathways in the green alga *C. reinhardtii*
 [3,4]. It is also of practical importance as it permitted, for
 the first time, the sustainable light-dependent production
 and accumulation of significant amounts of H₂ gas, gen-
 erated from sunlight and water. This method may serve
 as a tool by which to probe and improve photobiological
 hydrogen production. A summary of the progress achieved,
 current challenges facing photobiological H₂, and the
 near-term prospects of the process are listed in Table 2.
 The long-term advantage of photobiological hydrogen pro-
 duction is that it does not entail the generation of any toxic

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1 or polluting byproducts and it may even offer the advantage
 2 of value-added products as a result of the mass cultivation
 3 of green algae. These issues are of enormous consideration
 4 for the long-term success of a renewable hydrogen production
 5 process. Indeed, recent estimates and business models
 6 have shown that a typical industrial-size solar hydrogen
 7 production facility need occupy a minimum surface area of
 8 500–1000 acres to permit the harvesting of sufficient solar
 9 irradiance for a cost-effective operation of the plant. The
 10 environmental impact of such a light-harvesting facility
 11 would be minimal with green algae, which may be viewed
 12 as a beneficial crop plant.

13 The process of hydrogen production also concerns global
 14 warming, environmental pollution, and the question of energy
 15 supply and demand. Projections of potential fossil fuel
 16 shortfall, toward the middle of the 21st century, make it
 17 important to develop alternative energy carriers that are clean,
 18 renewable and environmentally friendly. The advent of hydrogen
 19 in general will bring about technological developments in many
 20 fields, including agriculture, the transportation industry, power
 21 generation and other as yet unforeseen applications. Photosynthetic
 22 hydrogen, in particular, will increase the value of the US and
 23 world agriculture, as, in addition to hydrogen, scaled-up
 24 application of the method would produce substantial amounts
 25 of useful green algal biomass, and potentially several high value
 bioproducts.

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